

Name _____
Address _____

_____ Postcode _____

Statement for Certified High Net Worth Individual

I declare that I am a certified high net worth individual for the purpose of the Financial Services and Markets Act 2000 (Financial Promotion) Order 2005.

I am a certified high net worth individual because at least one of the following applies:

- a) I had, during the financial year immediately preceding the date below, an annual income to the value of £100,000 or more;
- b) I held, throughout the financial year immediately preceding the date below, net assets to the value of £250,000 or more.

Net assets for these purposes do not include:

- i) the property which is my primary residence or any loan secured on that residence;
- ii) any rights of mine under a qualifying contract of insurance within the meaning of the Financial Services and Markets Act 2000 (Regulated Activities) Order 2001; or
- iii) any benefits (in the form of pensions or otherwise) which are payable on the termination of my service or on my death or retirement and to which I am (or my dependants are), or may be entitled.

I accept that I can lose my property and other assets from making investment decisions based on financial promotions.

I understand that this means:

- a) I can receive financial promotions that may not have been approved by a person authorised by the Financial Conduct Authority;
- b) the content of such financial promotions may not confirm to rules issued by the Financial Conduct Authority;
- c) by signing this statement I may lose significant rights;**
- d) I may have no right to complain to either of the following:
 - i) the Financial Conduct Authority; or
 - ii) the Financial Ombudsman Scheme;
- e) I may have no right to seek compensation from the Financial Services Compensation Scheme.

I am aware that it is open to me to seek advice from someone who specialises in advising on investments (including unregulated collective schemes).

Signature _____ Date _____

This certificate is valid for one year